

IFW

PATENT Attorney Docket No.: PP020407.0004

#### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First class mail with sufficient postage in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the below-identified date.

6/28/08

Date

Arrendle Brown

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

O'Hagan et al

Conf. No.: 7269

Serial No.:

10/554,625

371(c) Date:

04/23/2004

For:

COMPOSITIONS COMPRISING CATIONIC MICROPARTICLES AND HCV

E1E2 DNA AND METHODS OF USE THEREOF

## MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

#### INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.56 and in accordance with 37 C.F.R. §§ 1.97 and 1.98, information relating to the above-identified application is hereby disclosed, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on Form PTO/SB/08, submitted herewith.

Inclusion of the information submitted herewith is not to be construed as an admission that the information is material as that term is defined in 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

# This Information Disclosure Statement is being filed:

|  | within three montl | ns of the filing | g date of the | patent application. |
|--|--------------------|------------------|---------------|---------------------|
|--|--------------------|------------------|---------------|---------------------|

Attorney Docket No.: PP018701.0004

|             | within three months of the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 of the international application.   |  |  |  |
|-------------|--|--|--|--|
| $\boxtimes$ | before the mailing date of a first Office Action on the merits.  |  |  |  |
|             | after the mailing date of a first Office Action on the merits, but before the mailing  |  |  |  |
|             | date of a Final Office Action under 37 C.F.R. § 1.116 or a Notice of Allowance   |  |  |  |
|             | under 37 C.F.R. § 1.311, and accordingly is accompanied by:  |  |  |  |
|             | the Statement under 37 C.F.R. § 1.97(e) (see "Statement" below);   |  |  |  |
|             | or   |  |  |  |
|             | the Fee of \$180.00 set forth in 37 C.F.R. § 1.17(p); or   |  |  |  |
|             | No fee is owed by the applicant(s).  |  |  |  |
|             | In accordance with 37 C.F.R. § 1.129(a), this Information Disclosure Statement is being filed in connection with $\Box$ the first or $\Box$ second After Final Submission, and accordingly is accompanied by the Statement under 37 C.F.R. § 1.97(e) (see "Statement" below) and the fee of \$180.00 as set forth in 37 C.F.R. § 1.17(p), is attached. |  |  |  |
|             | after the mailing date of a Final Office Action under 37 C.F.R. § 1.116 or a Notice of Allowance under 37 C.F.R. § 1.311, but before the payment of the Issue Fee, and accordingly is accompanied by the Statement under 37 C.F.R. § 1.97(e), (see "Statement," and "Fees" below).   |  |  |  |
|             | Copies of each of the non-U.S. Patent documents listed on the attached Form PTO/SB/08, are enclosed herewith.  |  |  |  |
|             | EXCEPT THAT:   |  |  |  |
|             | In view of the voluminous nature of reference @@, and the likelihood that this reference is available to the Examiner, copies are not enclosed herewith.   |  |  |  |

Attorney Docket No.: PP018701.0004

|             |   | •  |  |
|-------------|---|--|--|
| ٠.          |   | In accordance with 37 C.F.R. § 1.98(d), copies of the following references listed on the attached Form PTO/SB/08 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application. |  |
|             |   | If any of the foregoing publications are not available to the Examiner Applicant will endeavor to supply copies at the Examiner's request.   |  |
| Conci       | se Expl   | anation Requirement  |  |
|             |   | concise explanation" requirement (non-English references) for reference B2 37 C.F.R. § 1.98(a)(3) is satisfied by:   |  |
|             |   | the explanation provided on the attached sheet.  |  |
|             |   | the explanation provided in the Specification.   |  |
|             |   | submission of the enclosed International Search Report.  |  |
|             |   | submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action  |  |
|             |   | the English-language abstract provided on the cover page of B2.  |  |
| Staten      | nent un   | der 37 C.F.R. § 1.97(e)  |  |
|             | The undersigned attorney hereby states that each item contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement. |  |  |
| Fees        |   |  |  |
| $\boxtimes$ | No Fee is owed by the applicant(s).   |  |  |

|             | The Information Disclosure Statement Fee of \$180.00 under 37 C.F.R. § 1.17   |  |  |
|-------------|---|--|--|
|             | is enclosed herewith.   |  |  |
|             |   |  |  |
| Meth        | od of Payment of Fees   |  |  |
|             | Attached is a check in the amount of \$ This form is submitted                |  |  |
|             | in duplicate.   |  |  |
|             | Charge Deposit Account No. 03-1664 in the amount of \$180.00. This form is    |  |  |
|             | submitted in duplicate.   |  |  |
| $\boxtimes$ | Please charge any deficiency or credit any overpayment to Deposit Account 03- |  |  |
|             | 1664.   |  |  |
|             | No fee or Statement is required under 37 C.F.R. § 1.97(b).                    |  |  |
|             |   |  |  |
| ٠           | Respectfully submitted,   |  |  |
|             | Am L  |  |  |

Amy Hessler

Registration No. 50,310

Dated: August 28, 2008

NOVARTIS VACCINES AND DIAGNOSTICS, INC.

Intellectual Property Dept 4560 Horton Street

Emeryville, CA 94608-2916

Telephone: (510) 923-3833

Facsimile: (510) 655-3542